



Country: Viet Nam
Initiation Plan

Project Title: For preparation and implementation of Project on Accelerating Multi-dimensional Poverty Reduction and Socio-economic Development in Ethnic Minority Areas 2020-2015 (SEDEMA)

Expected CP Outcome(s):

UNDP STRATEGIC PLAN OUTCOME 1. Growth and development are inclusive and sustainable, incorporating productive capacities that create employment and livelihoods for the poor and excluded.

One UN Viet Nam Strategic Plan (OSP 2017-2021) Outcome 1.1: Poverty and Vulnerability Reduction

By 2021, all people benefit from inclusive and equitable social protection systems and poverty reduction services, which will reduce multidimensional poverty and vulnerabilities.

UNDP CPD (2017-2021) Outcome 1: Poverty eradication and greater socio-economic equity

Initiation Plan Start Date: 15 August 2020

Initiation Plan End Date: 31 December 2020

Implementing Partner: UNDP (Direct Implementation by UNDP - DIM)

Brief Description

The objective of the initiation plan is to provide Government of Viet Nam (GoV) with timely technical assistance for designing and implementing the National Target Program on Socio-economic Development in Ethnic Minority and Mountainous Areas 2021-2030 (NTPSEDEMA) while the UNDP-DFAT project on Accelerating Multi-dimensional Poverty Reduction and Socio-economic Development in Ethnic Minority Areas 2020-2015 (SEDEMA) is being approved by GoV.

Key expected products will be technical inputs/papers for the GoV to design and implement the NTPSEDEMA which accelerates poverty reduction (PR) and socio-economic development in ethnic minority areas, with innovative solutions to ensure that ethnic minority people can equally participate and benefit from the country's socio-economic development and that no one is left behind in the fast-changing post-COVID-19 context.

The proposed project will contribute to SDG 1 "Eradicate poverty in all its forms and everywhere by 2030", SDG 5 "Gender equality and empowerment", SDG 8 "Inclusive growth" and SDG10 "Reduce inequalities".

Programme Period: **August – Dec. 2020**

UNDP CPD Outcome 1

UN OSP Outcome 1.1

Atlas Award ID: 00127304

Output ID: 00121200

PAC Meeting Date:

Total resources required **\$ 188,912**

Of which:

- GREAT \$ 150,912
- UNDP in kind \$ 38,000

Agreed by UNDP:

Ms. Caitlin Wiesen, Resident Representative

Date:

I. PURPOSE

UNDP and DFAT through the GREAT project, is cooperating with the Committee for Ethnic Minority Affairs (CEMA) to develop a technical assistance project on “Accelerating Multi-dimensional Poverty Reduction and Socio-economic Development in Ethnic Minority Areas 2020-2015” (SEDEMA), which aims at supporting the involved partners and stakeholders at central and local levels in application of the (i) Accelerator Lab (AL) Anticipatory, Active, Agile (AAA) governance approaches, and (ii) learning loops to promote stakeholder innovations at all levels in designing and experimenting best practices (2020-2021) and scaling up the implementation of best selected models/solutions in the National Targeted Programme (NTP 2021-2025). The project will build on piloting of solutions/models in other development projects, taking into account the results and lessons learned. It will focus on supporting:

- (1) Development of a conducive eco-system for promoting inclusive innovations at local levels;
- (2) Engaging related stakeholders in a learning process to obtain knowledge on local solutions and necessary conditions for their success/bottlenecks in enhancing their effectiveness/replicability and scalability, generating and experimenting new integrated solutions for their successful adaptation and application in other locations/at larger scales for accelerating the achievement of the outcomes.

The project will contribute to Viet Nam’s progress on SDG1-No Poverty, SDG5-Gender Equality, and related SDG2-Zero Hunger, SDG8-Decent Work and Economic Growth and SDG10-Reduced Inequalities.

While this Technical Assistance project (SEDEMA) is subject to an approval process by GoV and UNDP, CEMA minister has sent UNDP an Official Letter No. 20/20-CT, dated August 05th 2020, on Project on Accelerating Multi-dimensional Poverty Reduction and Socio-economic Development in Ethnic Minority Areas 2020-2015 (SEDEMA), which requested UNDP to urgently support CEMA with the three following groups of activities:

- (1). Technical support for the development of guiding documents for NTPSEDEMA implementation, including an assessment of implementation results for specific mechanisms adopted in the National Target Program on New Rural Development (NTPNRD) and the National Target Program on Sustainable Poverty Reduction (NTPSPR), so as to inform and recommend the appropriate, innovative mechanisms for adoption in the NTPSEDEMA.
- (2). Technical support to CEMA in development and introduction of innovative solutions under the NTPSEDEMA and launching event.
- (3). Technical support for digital transformation in implementation and management of the NTPSEDEMA.

The purpose of the initiation plan is to provide CEMA with timely technical inputs (through three above groups of activities) for designing and implementing the National Target Program on Socio-economic Development in Ethnic Minority and Mountainous Areas 2021-2030 (NTPSEDEMA) while the UNDP-DFAT project on Accelerating Multi-dimensional Poverty

Reduction and Socio-economic Development in Ethnic Minority Areas 2020-2015 (SEDEMA) is being approved by GoV.

II. STRATEGY

Under (and contributing to) the CPD (2017-2021) Outcome 1: Poverty eradication and greater socio-economic equity, the initiation plan aim to provide Government of Viet Nam (GoV)/ Committee for Ethnic Minority Affairs (CEMA) with timely technical assistance for designing and implementing the National Target Program on Socio-economic Development in Ethnic Minority and Mountainous Areas 2021-2030 (NTPSEDEMA) while the UNDP-DFAT project on Accelerating Multi-dimensional Poverty Reduction and Socio-economic Development in Ethnic Minority Areas 2020-2015 (SEDEMA) is being approved by GoV.

Key expected products will be technical inputs/papers for the GoV to design and implement the NTPSEDEMA which accelerates poverty reduction (PR) and socio-economic development in ethnic minority areas, with innovative solutions to ensure ethnic minority people to equally participate and benefit from the country's socio-economic development and that no one is left behind in the fast-changing post-COVID-19 context.

During the initiation plan period, (i) innovative solutions at the local level as well as specific mechanisms of the two existing National Targeted Programs on Sustainable Poverty Reduction (NTPSPR) and New Rural Development (NTPNRD) in the period 2016 – 2020, will be scanned, synthesized and analysed to provide technical inputs for designing and implementing of the NTPSEDEMA in the period 2021 – 2030; (ii) high level policy forum to introduce selected innovative solutions of the NTPSEDEMA and (iii) technical support for plan development for digital transformation in implementation, monitoring and evaluation of the NTPSEDEMA will be implemented in order to contribute to the delivery of the key expected products.

III. EXPECTED OUTPUT

The initiation plan will support to implement activities to deliver the Objective 1 - ***Innovative solutions to accelerate multidimensional poverty reduction (MDP) and SEDP for ethnic minorities are synthesized, analyzed and introduced in Lao Cai and Son La (as inputs to development of NTPSEDEMA).***

To deliver the output, the PIP will cover:

- Technical support for scanned, synthesized and analyzed innovative solutions as well as necessary and sufficient conditions for scaling up solutions to provide inputs for the development of guiding documents for NTPSEDEMA implementation (*including an assessment of implementation results for 2 specific mechanisms under the current National Target Program on New Rural Development (NTPNRD) and the National Target Program on Sustainable Poverty Reduction (NTPSPR), so as to inform and recommend the appropriate, innovative mechanisms for adoption in the new NTPSEDEMA*).

- Technical support for designed and introduced innovative solutions for MDP reduction and SEDP, including AL, AAA, 4M and Active learning loop from local levels, including Lao Cai, Son La and launching event of NTPSEDEMA.
- Technical support for developed action-plans for experimenting innovative solutions innovative solutions and process/options for digital transformation, including (i) identification/development of management process, e-reporting, e-M&E, management roles/arrangement and (ii) options/roadmap for digital transformation of the NTPSEDEMA.
- Technical support for full implementation of SEDEMA project, including TA for CEMA in establishment of Project Management Unit/Project Office Arrangement, Training project staff on developing the project work-plan, financial management, establishing a cooperation mechanism among related stakeholders, making detailed work-plans for 2020 and 2021.

Special attention will be paid, in the above-mentioned policy mapping exercise, to policy forums/dialogues and experimentations, to identify new ideas/innovative solutions, opportunities for scaling ups, as well as new partnership mechanisms (between UNDP, Government and other related development partners/DFAT) and related resource mobilization opportunities, based on these, the SEDEMA project document and its detail work-plans will be appraised and approved by the GoV and UNDP.

IV. MANAGEMENT ARRANGEMENTS

The initiation plan will be delivered under the direct implementation modality (DIM), with active engagement of Government partners (NIM), especially CEMA. Activities will thus be implemented by the Inclusive Growth Unit (IGU), under the direct supervision of the respective ARR, Head of IGU and the assigned focal point from CEMA and the overall programme management and oversight of the UNDP Deputy Resident Representative.

The implementation of the initiation plan will closely engage with relevant ministries and agencies, including the CEMA, Ministry of Labour – Invalids and Social Affairs (MOLISA), Ministry of Agricultural and Rural Development (MARD), Ministry of Information and Communication (MOIC) and two provinces of Lao Cai and Son La, to provide field evidence at grass-root levels, expertise inputs on MDP from MOLISA, agricultural production from MARD, as well as information technology and digital options from MOIC and others.

V. MONITORING

The Program Analyst under the leadership and stewardship of the ARR, Head of Inclusive Growth Unit, will be responsible for overall monitoring of the initiation plan, with support as needed from UNDP Country Office Planning, M&E Analyst.

The Annual Progress Report shall be prepared to provide a review and assessment of project status and progress and highlight results achieved in accordance with the project work-plan. A Final Report will be prepared at the end of the Initiation Plan, specifying results achieved, lessons learned and a way forward to scale up programme components.

VI. ANNUAL WORK PLAN

EXPECTED OUTPUTS, baseline, indicators and annual targets	PLANNED ACTIVITIES	TIME FRAME		RESPONSIBLE PARTY	BUDGET DESCRIPTION	2020 PLANNED BUDTET		
		QIII	QIV			GREAT	UNDP (in kind)	Total
Expected Output: Innovative solutions to accelerate multidimensional poverty reduction (MDP) and SEDP for ethnic minorities are synthesized, analyzed and introduced in Lao Cai and Son La (as inputs to development of NTPSEDEMA). Baseline: (i) A technical assistance project has been developed and under appraisal and approval process by GoV and UNDP, lack of preparation work for timely and effective implementation of TA project after it is approved; (ii) GoV starts designing and consultation process of NTPSEDEMA but non-existence of innovative solutions for accelerating Multi-dimensional Poverty Reduction and Socio-economic Development in Ethnic Minority Areas. Indicators: Number and quality of (i) well prepared activities implemented to support the full implementation of TA project after it is approved; (ii) innovative solutions and best practices for MDP reduction in EM areas scanned, documented, discussed and analyzed; (iii) successful PR solutions/models with stakeholders (policy makers, NGOs) defined and shared at policy forums. Annual targets (2020): - 01 report developed on mapping innovative solutions and mechanism for designing the NTPSEDEMA; - 01 high policy forum organized on innovative	Result 1.1.Scanned, synthesized and analyzed innovative solutions at the local level as well as necessary and sufficient conditions for scaling up solutions					73,000	19,000	92,000
	Act. 1.1.1.1. Scan, explore, synthesize, analyze bottlenecks and innovative solutions for MDPR, SEDP for EM at local level	X	X	UNDP, CEMA and Lao Cai, So La	National consultants	20,600	2,000	22,600
	Act 1.1.1.2. Technical workshops/meetings on identified problems and proposed solutions (learning loop on problems and solutions)	X	X		Tech. meetings/workshops	10,800	10,000	20,800
	Act 1.1.2.1. Policy level forums on policy issues and recommendations for scaling up of MDPR and SEDP for EM	X	X		Policy forums	31,600	5,000	36,600
	Act 1.1.2.2. Develop policy brief/papers to advocate key messages of reform and recommendations for integrating into NTP	X	X		National consultants	10,000	2,000	12,000
	Result 1.2. Designed and introduced innovative solutions for MDPR and SEDP, including AL, AAA, 4M and Active learning loop in Lao Cai and Son La					30,400	4,000	34,400
	Act 1.2.1.1. Digital transformation, including support CEMA in identification/development of management process, e-reporting, e-	X	X	UNDP, CEMA and Lao Cai, So La	National consultants	10,400	1,000	11,400

solutions for MDP reduction and SEDP, including AL, AAA, 4M and Active learning loop from local levels, including Lao Cai, Son La and launching event of NTPSEDEMA; - 01 action-plan developed for experimenting innovative solutions innovative solutions and digital transformation in implementation and management of the NTPSEDEMA; - 01 report developed on micro assessment of Government partner (CEMA); - 01 launching event organized on TA project.	M&E, management roles/arrangement and (ii) options/roadmap for digital transformation							
	Act 1.2.1.2. Preparation support to full implementation of SEDEMA project, including TA for CEMA in establishment of Project Management Unit/Project Office Arrangement, Training project staff on making project work-plan, financial management (progress and financial reporting), cooperation mechanism among related stakeholders, making detail work-plans for 2020 and 2021		X		National Consultant	20,000	3,000	23,000
	Project management					35,333	15,000	50,333
	Review and sharing/launching event of TA project				UNDP	3,333	5,000	8,333
	Micro assessment of CEMA (as IP)				UNDP	2,000	0	2,000
	DPC (Monthly monitoring, management, facilitation and quality insurance of activities at both central and local levels)					30,000	10,000	40,000
	SUB-TOTAL					138,733	38,000	176,733
	ISS				UNDP	1,000	0	1,000
	GMS				UNDP	11,179	0	11,179
	GRAND TOTAL					150,912	38,000	188,912